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C. Healion

Applicant : Timothy B. Robinson, et al.  
Application No. : 09/825,599  
Filed : April 4, 2001  
Title : METHOD AND APPARATUS FOR OPTIMIZING  
SIGNAL TRANSFORMATION IN A FRAME-BASED  
COMMUNICATIONS NETWORK  
Grp./Div. : 2661  
Examiner : Not Assigned  
Docket No. : 42155/RJP/E264

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
April 26, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the International Search Report for PCT/US01/10882. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).


It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

**Application No. 09/825,599**

To the best of the undersigned's knowledge, this filing is being made prior to the mailing date of the first Office action on the merits. If an Office action has been issued, the Commissioner is hereby authorized to charge the processing fee of \$180.00, as required by 37 CFR § 1.17(p), to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

  
By \_\_\_\_\_

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Reg. No. 28,248

626/795-9900

RJP/cah

Enclosures: PTO/SB/08A/B, w/references

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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	<u>DOCUMENT NUMBER</u> Number - kind code <sup>2</sup> . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
				RECEIVED
				MAY 17 2002

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		EP 0 938 207 A2	08-25-1999	Lucent Technologies, Inc.	
		WO 99/20016	04-22-1999	Winnet MCS, Inc.	

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		J. CARLSON, et al., "PPP over Simple Data Link (SDL) using SONET/SDH with ATM-like framing," <i>Internet Draft</i> , November 1998, Pages 1-21.

EXAMINER SIGNATURE	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.